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PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	12387
First Inventor	CRUZ
Title	SYSTEM, METHOD AND APPARATUS FOR MONITORING ...
Express Mail Label No.	

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
- ☒ Applicant claims small entity status.
See 37 CFR 1.27.
- ☒ Specification (preferred arrangement set forth below) [Total Pages 68]
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 23]
- Oath or Declaration [Total Pages 3]
 - ☐ Newly executed (original or copy)
 - ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(p)
- ☒ Application Data Sheet. See 37 CFR 1.76

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement of Attorney (when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☒ Other: REQUEST THAT APPLICATION NOT BE PUBLISHED PURSUANT TO 35 USC 122(b)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/968,849

Prior application information: Examiner _____ Group / Art Unit 2164

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Raymond Van Dyke		
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Name (Print/Type)	Raymond Van Dyke	Registration No. (Attorney/Agent)	34,746
Signature		Date	January 4, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT \$880.00

Complete if Known

Application Number	Continuation of Serial No. 09/968,849
Filing Date	October 3, 2001
First Named Inventor	CRUZ
Examiner Name	
Group Art Unit	
Attorney Docket No.	12387

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **04-1424 / 459670-4**
 Deposit Account Name

- ☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17
☒ Applicant claims small entity status See 37 CFR § 1.27

2. ☐ Payment Enclosed:
☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee Description	Fee Paid
101	740	201	Utility filing fee	379.00
106	330	206	Design filing fee	
107	510	207	Plant filing fee	
108	740	208	Reissue filing fee	
114	180	214	Provisional filing fee	
SUBTOTAL (1)				\$370.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
72	-20** =	\$2	9.00
4	-3** =	1	42.00
Multiple Dependent			

Large Entity	Small Entity	Fee Code	Fee Description	Fee Paid
103	18	203	Claims in excess of 20	
102	84	202	Independent claims in excess of 3	
104	280	204	Multiple dependent claim, if not paid	
109	84	209	** Reissue independent claims over original patent	
110	18	210	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				\$510.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Entity	Small Entity	Fee Code	Fee Description	Fee Paid
105	130	205	85 Surcharge - late filing fee or oath	
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non - English specification	
147	2,520	147	2,520 For filing a request for ex parte reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	400	216	200 Extension for reply within second month	
117	920	217	460 Extension for reply within third month	
118	1,440	218	720 Extension for reply within fourth month	
128	1,980	228	980 Extension for reply within fifth month	
119	320	219	160 Notice of Appeal	
120	320	220	160 Filing a brief in support of an appeal	
121	280	221	140 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,280	241	640 Petition to revive - unintentional	
142	1,280	242	640 Utility issue fee (or reissue)	
143	460	243	230 Design issue fee	
144	620	244	310 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Processing fee under 37 CFR § 1.17(g)	
126	180	126	180 Submission of Information Disclosure Statement	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(c))	
179	740	279	370 Request for Continued Examination (RCE)	
109	900	109	900 Request for expedited examination of a design application	
Other fee (specify)				
SUBTOTAL (3)				

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type) **Raymond Van Dyke**
 Signature

Registration No
(Attorney/Agent)

34,746

Complete (if applicable)

Telephone (202) 824-8800
 Date January 4, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Appln. of:

CRUZ et al.

Continuation of Patent Application
Serial No.: 09/968,849

Filed: October 3, 2001

Group Art Unit: 2164

For: SYSTEM, METHOD AND APPARATUS
FOR MONITORING AND EXECUTION
OF ENTRY AND EXIT ORDERS

Examiner: Unassigned

**REQUEST THAT APPLICATION NOT BE PUBLISHED
PURSUANT TO 35 U.S.C. 122(b)(2)**

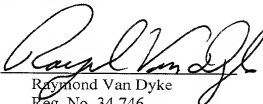
Assistant Commissioner of Patents
and Trademarks
Washington, D.C. 20231

Sir:

Pursuant to 35 U.S.C. 122(b)(2), Applicants hereby request that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicants hereby certify that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Respectfully submitted,

DORSEY & WHITNEY LLP

By 

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Date: January 4, 2002